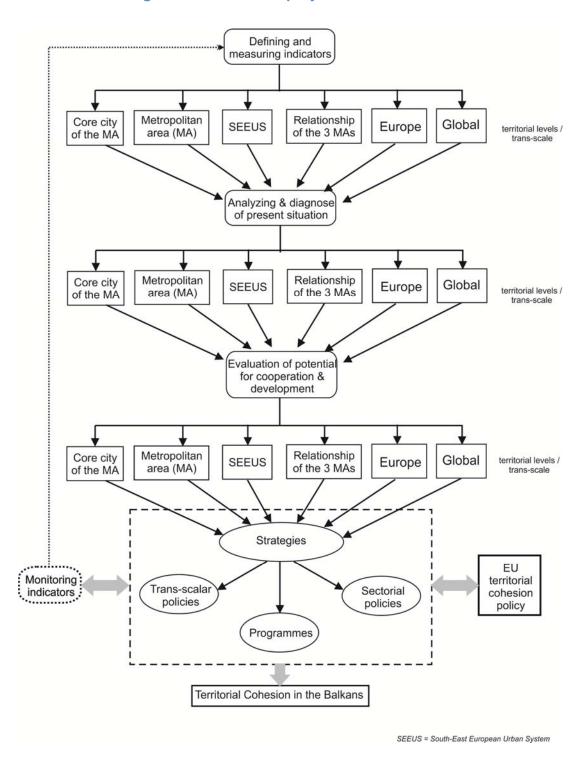
# **ANNEX I**

## General methodological scheme of the project



# **ANNEX II**

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# ANNEX III - List of GROSEE Indicators

# 1. Competitiveness

Sub-themes	Headline indicators	Indicator	Source of indicator	5th Coh. Rep.*	Source of data	Europe 2020 target
	Headline	GDP per capita in PPS	INTERCO		Eurostat	
		GDP, in PPS per inhabitant, in percentage of the EU average	Eurostat		Eurostat	
		GDP in Euros, per capita	Eurostat		Eurostat	
		GDP, in Euros, in percentage of the EU average per inhabitant	Eurostat		Eurostat	
	Headline	Dispersion of regional GDP per inhabitant	Eurostat		Eurostat	
		Gross Value Added (GVA)	Eurostat		Eurostat	
Income		Real growth rate of regional Gross Value Added (GVA)	Eurostat		Eurostat	
GDP, FDI, Income		Turnover for enterprises by activity sectors	Eurostat		National data - Eurostat	
, o		Gross Fixed Capital Formation	Eurostat		Eurostat	
	Headline	FDI / Foreign direct investments (per inhabitant)	INTERCO		Eurostat	
	Headline	Income / Disposable household income	Eurostat		Eurostat	
		Tourism intensity	Eurostat		Eurostat	
		Arrivals in tourist accommodation establishments	Eurostat		Eurostat	
		Number of establishments, bedrooms and bed-places	Eurostat		Eurostat	
ur ym ym		Total employment	Eurostat		Eurostat	
Labour market, employm ent, unemplo		Total employment rates by sex and age (%)			Eurostat	

Sub-themes	Headline indicators	Indicator	Source of indicator	5th Coh. Rep.*	Source of data	Europe 2020 target
	Headline	Employment rate by sex, age group 20-64	Eurostat / Europe 2020 headline		Eurostat / Europe 2020	75% of the population aged 20-64 should be employed
		Balance between female and male employment			Eurostat	
		Employed persons in all NACE	Eurostat		Eurostat	
		Employment by economic activity	Eurostat		Eurostat	
	Headline	Unemployment rates per sex and age (%)	INTERCO (Top ind.)		Eurostat	
		Development of unemployment rate (male, female, young, total, 99- 04)	INTERCO		Eurostat	
	Headline	Labour productivity in industry and services	INTERCO (Top ind.)		Eurostat	
		Productivity growth within sectors - Increase in average productivity due to higher productivity within sectors	Eurostat	+	Eurostat	
		Productivity growth through employment shifts between sectors	Eurostat	+	Eurostat	
novation	Headline	Persons aged 30-34 with tertiary education attainment	Eurostat / Europe 2020 headline		Eurostat / Europe 2020	At least 40% of 30-34 years old should have completed a tertiary education
Technological readiness & Innovation	Headline	Total expenditure on R&D - % of regional GDP	Eurostat / Europe 2020 headline		Eurostat / Europe 2020	3% of expenditure on research and development
jical rea		Total intramural R&D expenditure (GERD	INTERCO (Top ind.)		Eurostat	
hnolog		Human Resources in Science & Technology	Eurostat		Eurostat	
Тес		Employment in technology and knowledge-intensive sectors	Eurostat		Eurostat	

Sub-themes	Headline indicators	Indicator	Source of indicator	5th Coh. Rep.*	Source of data	Europe 2020 target
	Headline	Households with broadband access (% of the entire population)	Eurostat / Europe 2020		Eurostat	
	Headline	Households with access to the Internet at home	POLYCE		Eurostat	
Human potential	Headline	Share of early school leavers	Europe 2020 headline			The share of early school leavers should be under 10%

# 2. Demography, social structure and well-being

Sub- themes	Headline indicators	Indicator	Source of indicator	5th Coh. Rep.*	Source of data	Europe 2020 target
		Annual average population by sex	Eurostat		Eurostat	
		Population by sex and age groups on 1 January	Eurostat		Eurostat	
		Gender imbalances	INTERCO (Top ind.)		Eurostat	
		Ageing index (persons 65+ / persons 0-14)	INTERCO		Eurostat	
	Headline	Old age dependency ratio	INTERCO (Top ind.)		Eurostat	
Demography		Young age dependency ratio				
βοι		Total dependency rate				
Den		Fertility rate (total and by age)	Eurostat		Eurostat	
	Headline	Life expectancy at birth	INTERCO (Top ind.)		Eurostat	
		Average number of children per women				
		Number of illegally entered migrants				
	Headline	Net migration rate	INTERCO (Top ind.)		Eurostat	
		Population density	INTERCO / Eurostat		Eurostat	

Sub- themes	Headline indicators	Indicator	Source of indicator	5th Coh. Rep.*	Source of data	Europe 2020 target
Social structure & well being	Headline	At persistent risk of Poverty rate (population share with 60% of the national equivalent medium income)	Europe 2020 headline		Eurostat	Reducing the number of people at risk of poverty or exclusion by 20 million in the EU
Provision of services of general interest		Number of doctors by 10.000 inhabitants				

# 3. Accessibility and connectivity

Sub- themes	Headline indicators	Indicator	Source of indicator	5th Coh. Rep.*	Source of data	Europe 2020 target
	Headline	Airflights number			Eurostat	
	Headline	Existing Connections – number of trains	ESPON 1.1.1.			
	Headline	Length of motorways	Eurostat		Eurostat	
		Length of railway network, km			Eurostat	
		Length of road network (km)				
pacir		Number of commercial airports				
ure, cal		Total length of motorways	ESPON Project 1.2.1			
astruct		Traffic in commercial airports (in million passengers/year				
c, infr		Air transport of passengers			Eurostat	
<u>L</u>		Air transport of freight*			Eurostat	
tra		Airports accessibility	FOCI			
\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \		Air transport flows				
t flow		Contactability through one trip day	FOCI			
Transport flows / traffic, infrastructure, capaciry		Existing Connections – number of trains – number of flights	ESPON 1.1.1.			
F		Connectivity – daily links				
		Motorisation rate				
	Headline	Number of deaths in road accidents (road safety)	Europe 2020			33.8 fatalities per 1 million inhabitants
		Fleet of vehicles of public transport				

Sub- themes	Headline indicators	Indicator	Source of indicator	5th Coh. Rep.*	Source of data	Europe 2020 target
		Public transport passenger movements				
		Index of satisfaction with public transport in 2009				
		Share of passenger trips by public transport in the total of passenger trips				
		Access to industrial zones				
Accessibili ty to services		Access to grocery services				
301 11003		Access to hospitals Access to universities	INTERCO INTERCO			
Urban Transport		Speed				

# 4. Environment

Sub- themes	Headline indicators	Indicator	Similar indicator from Eurostat	Source of indicator	5th Coh. Rep.*	Source of data	Europe 2020 target
		Consumption of water per capita		INTERCO			
		Percentage of treated wastewater					
		Annual wastes amount	Generatio n and treatment of municipal waste (1 000 t) by NUTS 2 regions	Eurostat		Eurostat	
Water, waste	Headline	Percentage of resident population connected to wastewaters collection and treatment systems		Europe 2020			
		Share of population with access to sewage					
		Share of waste recycling					
-		Rate of production of household waste					
	Headline	Total waste amount Solid waste					

Sub- themes	Headline indicators	Indicator	Similar indicator from Eurostat	Source of indicator	5th Coh. Rep.*	Source of data	Europe 2020 target
		collected per inhabitant					
Land use, Environme nt quality, natural assets		Land cover/land use		INTERCO		Eurostat / CORIN E LC	
		Agricultural areas	Areas harveste, yields, productio n by NUTS 2 regions			CORIN E LC	
		Surface of landfills				CORIN E LC	
		Percentage of green areas of total urban areas					
Protected areas	Headline	NATURA 2000 area		INTERCO		Eurostat	
		Summer Smog: Number of days with ozone (O3) concentrations exceed 120 µg/m3 Air quality index					
Air pollution		Days per year with levels of particulate matter above the normal					
Air		Total volume of emissions of sulphuric oxides Nitrogen oxides					
		Methane emission Carbon dioxide emissions					
Expenses / funding for environme nt protection		Public expenses for environment protection					
Risks and hazards		Intensity of multiple risks (number of challenges) for European Regions ("Regions 2020")		INTERCO			
Risks ar		Percentage of surfaces vulnerable to landslides of total surface					

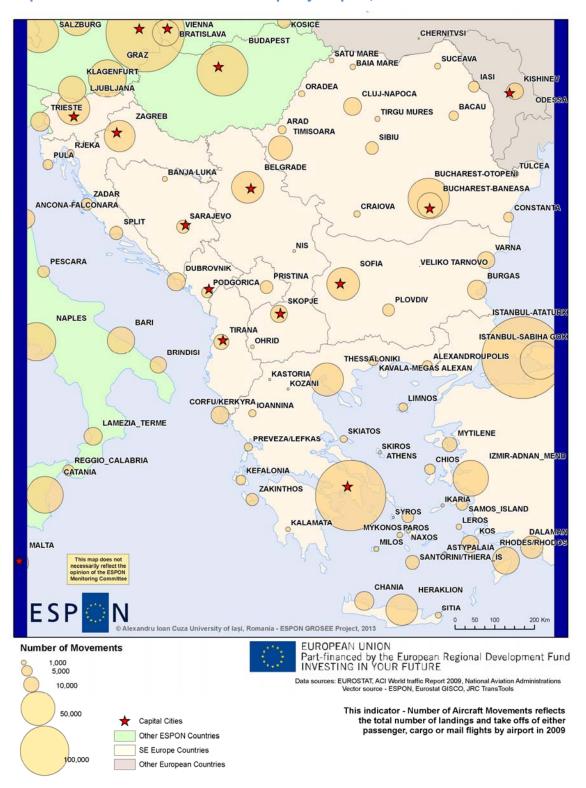
Sub- themes	Headline indicators	Indicator	Similar indicator from Eurostat	Source of indicator	5th Coh. Rep.*	Source of data	Europe 2020 target
Energy	Headline	Share of renewable energy in gross final energy consumption (and increase needed to meet the 20% target)	Share of renewabl e energy in gross final energy consumpti on	Eurostat / Europe 2020 headline / INTERCO		Eurostat	20% of energy from renewables
	Headline			Europe 2020 headline			20% increase in energy efficiency
hange		Potential vulnerability to climate change [ESPON Climate]		INTERCO (Top ind.)			
Climate change	Headline	Total amount of greenhouse gases		Europe 2020 headline			Greenhouse gas emissions 20% (or even 30%,) lower than 1990

# 5. Territorial and urban structures and policies

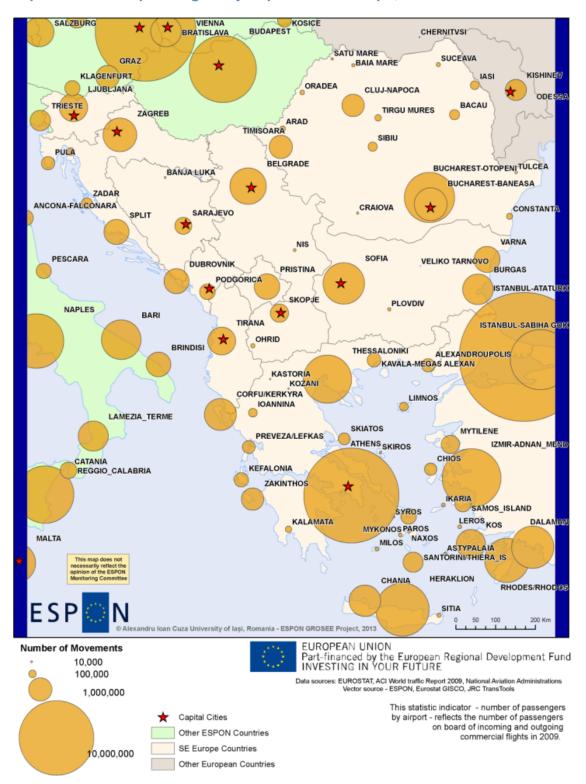
Sub - themes	Headline indicators	INDICATOR	Source of indicator (ESPON projects etc)	5th Coh. Rep.*	Source of data
Cooperation	Headline	Cooperation intensity	INTERCO (Top ind.)		Eurostat
Cooperation	Headline	Cooperation degree	INTERCO (Top ind.)		
Polycentricity,		Population potential within			
MEGAs		50 km	INTERCO (Top ind.)		Eurostat
		Student number in CC	Eurostat		Eurostat

# **ANNEX IV**

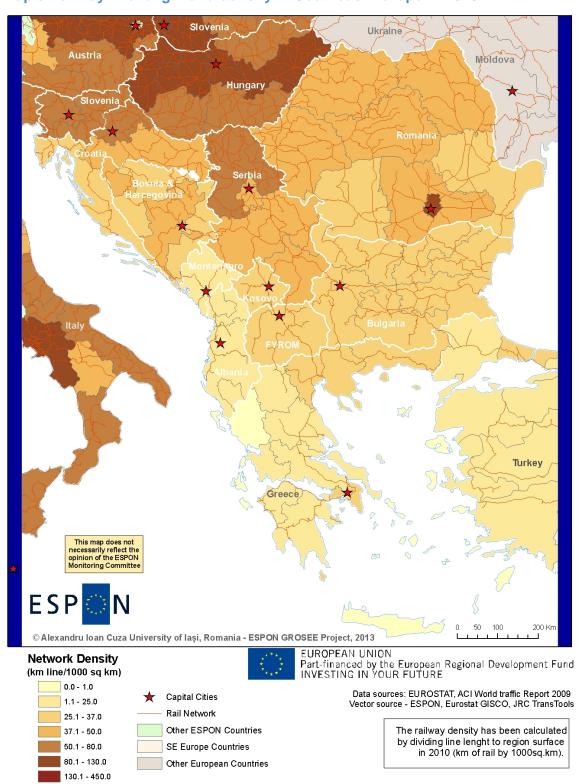
### Map 1 Aircraft Movements in SE Europe by Airport, in 2009



Map 2 Number of passengers by airport in SE Europe, in 2009



Map 3 Railway line length and density in Southeast Europe in 2010



Map 4 Road Connexity and Connectivity Indices by NUTS 2 in SE Europe

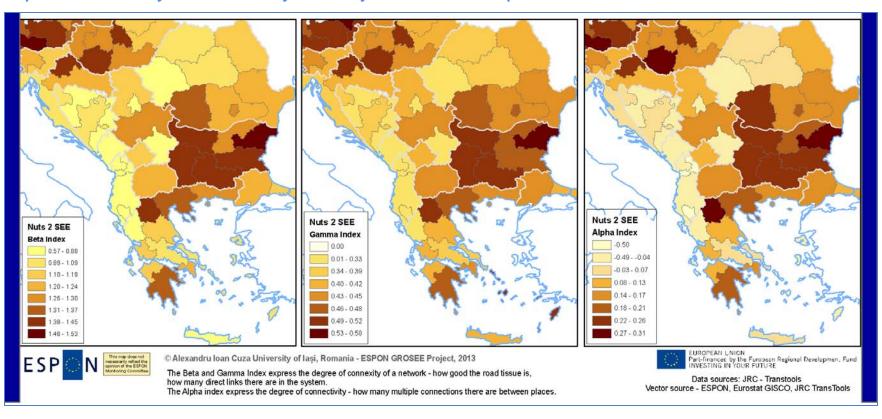
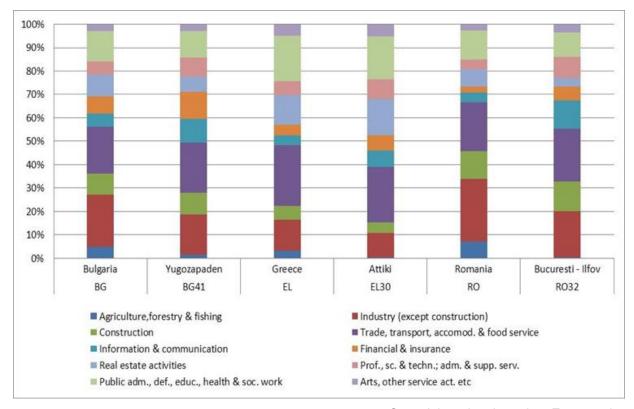


Table 1 GVA per economic sector % in the three countries and capitals in 2009

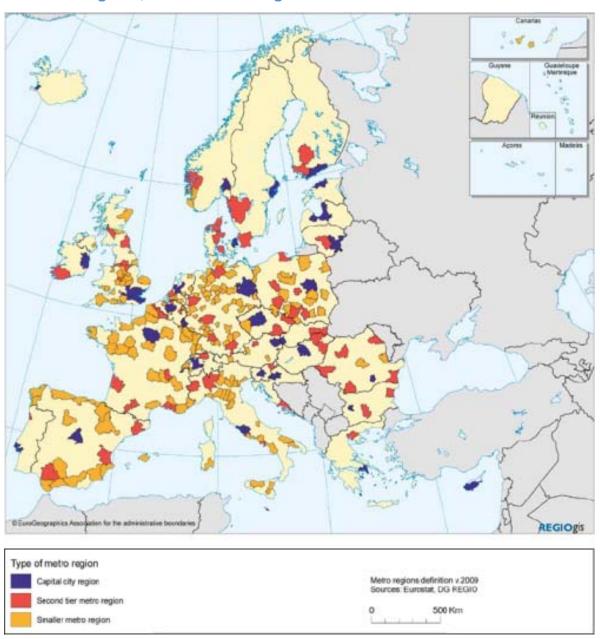
Country/capital (NUTS 2)	Bulgaria	Sofia / BG41	Greece	Attiki / EL30	Romania	Bucharest / RO32
Agriculture, forestry & fishing	4.8	1.5	3.1	0.3	7.2	0.3
Industry (except construction)	22.1	17.2	13.4	10.4	26.8	19.7
Construction	9.2	9.2	5.8	4.6	11.7	12.9
Trade, transport, accommodation & food service	20	21.5	26	23.8	20.9	22.6
Information & communication	5.7	10.3	4.3	6.9	4.3	11.9
Financial & insurance	7.1	11.4	4.4	6.4	2.5	5.9
Real estate activities	9.4	6.6	12.5	15.5	7.3	3.8
Professional, scientific & technical, administrative & support services	5.5	8.1	6	8.7	4.4	9.1
Public administration, defence, education, health & social work activities	13.2	11.2	19.5	18.2	12.3	10.2
Arts, other services, activities of households & extraterritorial organizations	2.9	3	4.9	5.3	2.6	3.6
			Ow	n elaborati	on, based on	Eurostat data

Figure 1 GVA per economic sector in 2009 in the three countries and capitals



Own elaboration, based on Eurostat data

Map 5 Types of metropolitan regions by Eurostat: Capital city regions, Second tier metro regions, smaller metro regions



## Source:

http://epp.eurostat.ec.europa.eu/statistics\_explained/index.php/Regional\_typologies\_overview

Table 2 Indicators used for the case study metros per NUTS level of approximation

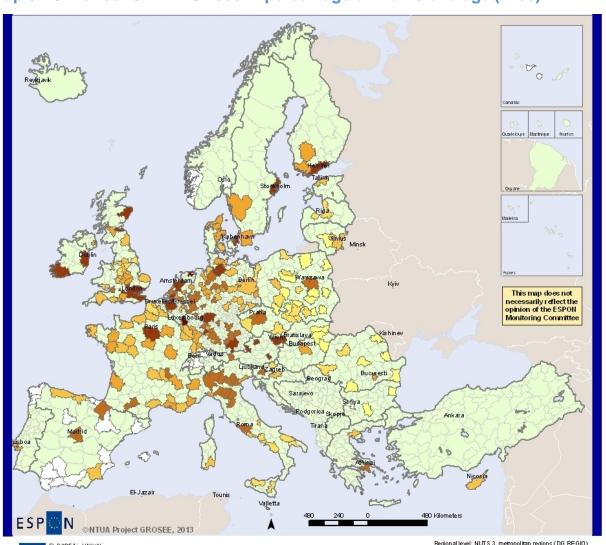
Indicators per aspect of competiveness	NUTS level of data and the MR approximation
Economic performance	
GDP PPS per capita as percentage of the EU27 average	NUTS3
Gross Value Added (GVA) at basic prices per activity sectors	NUTS2
Innovation / R&D	
Overall R&D expenditure as percentage of GDP	NUTS2
Number of patents per millions of inhabitants	NUTS3
Broadband penetration rate as percentage of total households	NUTS2
Labour force/human potential	
Labour productivity (in relation to the EU27 average) *	NUTS3
Employment rate as percentage of active population aged 20-64	NUTS2
Unemployment rate (percentage of active population aged 15-74)	NUTS2
Population aged 30-34 with tertiary education	NUTS2

<sup>\*</sup> Labour productivity was calculated as the ratio of the regional GDP in millions of PPS per 1000 employees

See for the indicators in previous sections of GROSEE and among other in: ESPON Atlas 2013.

<sup>\*\*</sup> Tertiary education – provided by universities and other higher education institutions – is the level of education following secondary schooling

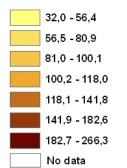
Map 6 EU metros: GDP PPS 2009 in percentage of the EU average (=100)



EUROPEAN UNION
Part-financed by the European Regions Development Fund
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Regional level: NUT \$ 3, metropolitan regions (DG REGID) Source: Origin of data : Eurostat, 2012 @ Euro Geographios Association for administrative boundaries

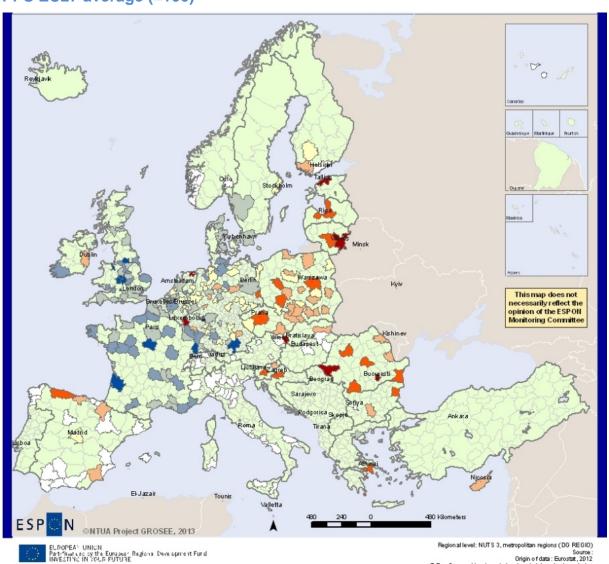
#### Metropolitan regions: GDP PPS 2009 in percentage of the EU27 average (=100)



NUTS 0 (countries)

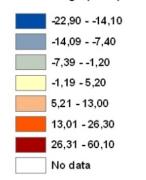
NUTS 3 regions

Map 7 EU metros: Gains or losses 2001-2008 in percentage points of the GDP PPS EU27 average (=100)



Source: Origin of data : Eurostat, 2012 @ Euro Geographics Association for administrative boundaries

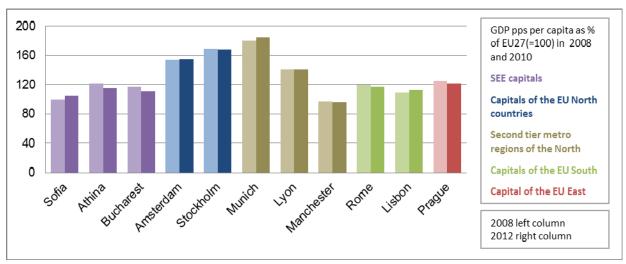
Metropolitan regions: Gains or losses 2001-2008 in percentage points of the EU27 average (=100) GDP PPS



NUTS 0 (countries)

**NUTS 3 regions** 

Figure 2 SEE capitals and case studies metros: GDP PPS per capita as % of EU27 average (=100) in 2008 and 2010

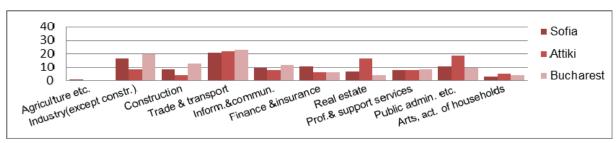


Approximation NUTS3 of the metros (Eurostat), Rome: approximation NUTS2

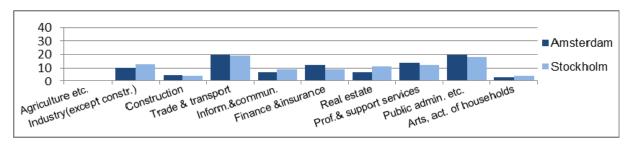
Own elaboration, based on Eurostat data

Figure 3 SEE capitals and case studies metros: Gross value added per economic sector in % of total GVA at basic prices in 2009

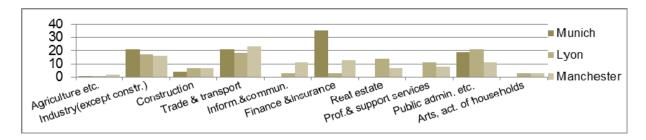
## **SEE capital city metros**



"North" capital city metros

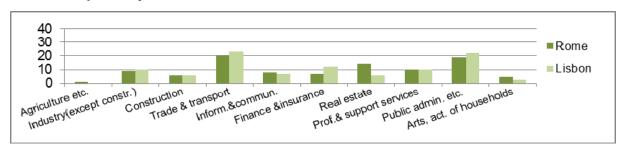


<sup>&</sup>quot;North" second tier metros



\*Data for Munich available at larger categories (1.Agriculture etc., 2.Industry (except construction), 3.Construction, 4.Trade, transport, information & communication, 5.Finance, insurance, real estate, professional & support services, 6.Public administration, arts, activities of households etc.)

## "South" capital city metros



### "East" capital city metro

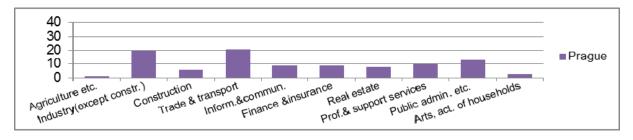


Table 3 SEE capitals and case studies metros: Labour productivity as ratio of **GDP** in millions of **PPS** per 1000 of employees, 2008, 2010, 2008-2010 change in percentage points

Types of metros	Metros	2008	2010	2008-2010 change in percent. points	
SEE capitals	Sofia	39	40	2	
	Attiki	84	81	-3	
	Bucharest		58	-3	
Capitals of the EU North	Amsterdam	92	93	1	
	Stockholm		79	0	
Second tier metros of the EU north	Munich	82	82	0	
	Lyon	73	73	0	
	Manchester	61	61	0	
Capitals of the EU South	Rome	85	81	-3	
	Lisbon	54	55	2	
Capitals of the EU East	Prague	61	62	-1	
Approximation NUTS3 of the metros (Eurostat), Rome: approximation NUTS2.  Own elaboration, based on Eurostat data					

## **ANNEX V**

#### List of Abbreviations

SEE South East Europe

R&D Research and Development

EU European Union

TEN-T The Trans-European Transport Network

GROSEE Espon Project Growth Poles in South East Europe

FP Framework Programme

NUTS Nomenclature of Territorial Units for Statistics

LAU Local Administrative Unit

ESPON European Observation Network for Territorial Development and Cohesion

SMART Criteria for guidance in the setting of the objectives

SWOT Analysis or methodology to evaluate Strengths, Weaknesses,

Opportunities and Threats

MEGA Metropolitan European Growth Areas

FUA Functional Urban Area
GDP Gross Domestic Product

PUSH Potential Urban Strategic Horizons

PIA Polycentric Integration Areas

TRACC Espon Project Transport accessibility at regional/local scale and patterns

in Europe

RO Romania
BG Bulgaria
GR Greece

EUROSTAT Organization for data collection

BBU Baneasa Airport
OTP Otopeni Airport

FYROM Former Yugoslav Republic of Macedonia

EC European Commission

FMA Functional Metropolitan Area

FOCI Espon Project Future Orientation for Cities

ECR2 Espon Project Economic Crisis: Resilience of Regions

SGPTD Espon Project Second Tier Cities and Territorial Development in Europe

PPS Power Purchasing Standard

GVA Gross Value Added

FDI Foreign Direct Investments

CORDIS Community Research and Development Information Service

MR Metropolitan Region

APS Advanced Producer Services

HT High Tech

EPO European Patent Office

CC Core City

CU Coordination Unit

OMR Outer Metropolitan Aria

NGO Non Governmental Organization

ICT Information and Communication Technology

EU CSF European Union Community Support Framework

IMF International Monitory Funds

INTERREG Initiative for stimulating cooperation

GaWC Globalization and World Cities

CORDIS Community Research and Development Information Service

## **ANNEX VI**

## Interviews guidelines with representatives of stakeholders

#### The city and its metropolitan area

- 1. What do you think is the spatial extension of the metropolitan area?
- 2. Which criteria should be taken into consideration for the delimitation of the metropolitan area?
- 3. Should the metropolitan area have administrative and executive powers like a territorial administrative unit?
- 4. How will the present social and economic disparities between the city core and its metropolitan region influence the future development of the city core and the metropolitan area?
- 5. How might the demographic potential, the gender structure or the migration influence the future evolution of the urban dynamics?
- 6. What are in your opinion the strategic economic branches for the city core and its metropolitan area and how could they influence and support future development of the overall economy?
- 7. What was the influence of the economic relocated activities from the city to the metropolitan area?
- 8. How important was the contribution of foreign investors coming from Sofia and Athens and other capitals to the local economy?
- 9. By taking into consideration the current characteristics of the unemployment rate and poverty, how do you appreciate the future evolution of these phenomena and what effects could they have in the evolution of the metropolitan area?
- 10. How do you perceive the role of the land conversion (deindustrialization, relocations, and extramural conversion to built-up areas) and the urban regeneration process in creating new development assets? How do you see the achievement of the city's new master plan? What do you expect from it?
- 11. On a scale from 1 (the most important) to 5 (the least important), how can you rank the following indicators, considering their contribution to the life quality level of incomes, criminality rate, pollution, health assistance, quality of education.

- 12. On a scale from 1 (very good) to 5 (very poor), how do you appreciate the accessibility from Bucharest to its metropolitan area by means of transportation (by car, by train, by bus)?
- 13. Could you proposal some priority measures to improve these aspects?
- 14. Which should be the development directions of the metropolitan public transport?
- 15. Where should be the intermodal nodes located in order to have a more fluent transition between the city core and its metropolitan area?
- 16. How are Bucharest and its metropolitan area influencing each other in terms of waste management and pollutant activities? What is their level of cooperation? What about the green areas?
- 17. How important are the relations with the metropolitan region for the city core?
- 18. How important are the relations with the city core for the metropolitan region?

#### Cooperation between the three capitals and inside of Balkan area

- 19. Are there some main cooperation areas between Bucharest, Sofia and Athens (e.g. public transport, infrastructure, waste management, environmental protection, territorial management plans, other)? On which ones should the next INTERREG and Cross-border programs focus on?
- 20. Specify the city's strengths and weaknesses in terms of cooperation development with the other two capitals. What should be the outcomes of the city cooperation with the other two capitals?
- 21. How do you see the future development of cooperation between the three capitals?
- 22. What is the present status of the relationships between the three capitals and other big cities in the Balkans?
- 23. Do you see Istanbul and Belgrade as main partners or competitors taking into consideration their economic and cooperation potential and their competitiveness?
- 24. What should be the role of the three capitals in structuring an urban network in the Balkans?
- 25. What should be the main concern of a new strategy for the future development of the Balkan urban system, including the Western Balkan big cities, and also Chisinau and Istanbul?

### Cooperation with the European core

- 26. What do you believe about the cooperation between the Capital and the most developed areas (countries) from Central and Western Europe? Could you mention the most important cooperation areas?
- 27. For a better exceeding of the crisis period what is the most desirable cooperation between the Capital and other western European cities?
- 28. Do you believe that the trans-European corridor modernization could represent an important element for a better connection of the capital to the European core?
- 29. Can you define some policies that might influence cooperation between the Balkan urban system and European core? What kind of policies should be elaborated to strengthen the importance of Athens-Sofia-Bucharest axis?
- 30. How can the Balkan urban system be made functional (integrated) at an international scale, given the geographical position between European and West Asian urban systems?

## **ANNEX VII**

List of institutions from which representatives participated to the interviews (work in progress)

#### Romania

County Council Giurgiu

Romanian-Bulgarian Chamber of Commerce and Industry

Agency for Regional Development Sud-Muntenia

Agency for Regional Development Bucuresti-Ilfov

Municipality of Otopeni

Institute of National Economies

Institute of Geography of the Romanian Academy

Agency for Environmental Protection Ilfov

Agency for Environmental Protection Bucuresti

### Bulgaria

Municipality of Sofia

University of Architecture, Civil Engineering and Geodesy

Ministry of Regional Development

Municipality of Sofia

University of architecture, civil engineering and geodesy

Ombudsman of Sofia municipality

**Bulgarian Industrial association** 

Arch. Borislav Borisov, member of the Regional chamber of architects – Sofia and municipal councilor

#### **Greece**

Greek Ministry of Development and Competitiveness

Greek Ministry of Environment, Energy and Climate Change – Direction for spatial planning and urban development

Greek Ministry of Environment, Energy and Climate Change – Direction of environment

Region of Attiki

Organization for Planning and Environmental Protection of Athens

Municipality if Athens

Athens Urban Transport Organization

Athens Chamber of Commerce and Industry

WWF (World Wide Fund of Nature)

ENVIPLAN – Private bureau for urban and regional studies